Redefining Phenotypes to Advance Psychiatric Genetics: Implications From Hierarchical Taxonomy of Psychopathology


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Monika A. Waszczuk and Nicholas R. Eaton
Stony Brook University

Alexander J. Shackman
University of Maryland

David H. Zald
Vanderbilt University

Christopher J. Patrick
Florida State University

Johan Ormel
University of Groningen

Eiko I. Fried
University of Amsterdam and Leiden University

Anna R. Docherty
University of Utah School of Medicine and Virginia Commonwealth University

Bo Bach
Psychiatric Hospital Slagelse, Slagelse, Denmark

Colin G. DeYoung
University of Minnesota

Michael Hallquist
The Pennsylvania State University

Robert F. Krueger
University of Minnesota

Irwin D. Waldman
Emory University

Benjamin B. Lahey
University of Chicago

Christopher C. Conway
Fordham University

Steven E. Hyman
Eli and Edythe L. Broad Institute of MIT and Harvard, Cambridge, Massachusetts

Miriam K. Forbes
Macquarie University

Robert R. Althoff
University of Vermont

Michael Chmielewski
Southern Methodist University

Kelsie T. Forbush
University of Kansas

Christopher J. Hopwood
University of California, Davis

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Monika A. Waszczuk and Nicholas R. Eaton, Departments of Psychiatry and Psychology, Stony Brook University; Robert F. Krueger, Department of Psychology, University of Minnesota; Alexander J. Shackman, Department of Psychology, University of Maryland; Irwin D. Waldman, Department of Psychology, Emory University; David H. Zald, Department of Psychology, Vanderbilt University; Benjamin B. Lahey, Departments of Health Studies and Psychiatry and Behavioral Neuroscience, University of Chicago; Christopher J. Patrick, Department of Psychology, Florida State University; Christopher C. Conway, Department of Psychology, Fordham University; Johan Ormel, University Medical Center Groningen and Department of Psychiatry, University of Groningen; Steven E. Hyman, Stanley Center for Psychiatric Research, Eli and Edythe L. Broad Institute of MIT and Harvard, Cambridge, Massachusetts; Eiko I. Fried, Department of Psychology, University of Amsterdam, and Department of Clinical Psychology, Leiden University; Miriam K. Forbes, Department of Psychology, Macquarie University; Anna R. Docherty, Department of Psychiatry, University of Utah School of Medicine, and Virginia Institute for Psychiatric

continued
Genetic discovery in psychiatry and clinical psychology is hindered by suboptimal phenotypic definitions. We argue that the hierarchical, dimensional, and data-driven classification system proposed by the Hierarchical Taxonomy of Psychopathology (HiTOP) consortium provides a more effective approach to identifying genes that underlie mental disorders, and to studying psychiatric etiology, than current diagnostic categories. Specifically, genes are expected to operate at different levels of the HiTOP hierarchy, with some highly pleiotropic genes influencing higher order psychopathology (e.g., the general factor), whereas other genes conferring more specific risk for individual spectra (e.g., internalizing), subfactors (e.g., fear disorders), or narrow symptoms (e.g., mood instability). We propose that the HiTOP model aligns well with the current understanding of the higher order genetic structure of psychopathology that has emerged from a large body of family and twin studies. We also discuss the convergence between the HiTOP model and findings from recent molecular studies of psychopathology indicating broad genetic pleiotropy, such as cross-disorder SNP-based shared genetic covariance and polygenic risk scores, and we highlight molecular genetic studies that have successfully redefined phenotypes to enhance precision and statistical power. Finally, we suggest how to integrate a HiTOP approach into and Behavioral Genetics, Virginia Commonwealth University; Robert R. Althoff, Department of Psychiatry, University of Vermont; Bo Bach, Centre of Excellence on Personality Disorder, Psychiatric Research Unit, Psychiatric Hospital Slagelse, Slagelse, Denmark; Michael Chmielewski, Department of Psychology, Southern Methodist University; Colin G. DeYoung, Department of Psychology, University of Minnesota; Kelsie T. Forbush, Department of Psychology, University of Kansas; Michael Hallquist, Department of Psychology, The Pennsylvania State University; Christopher J. Hopwood, Department of Psychology, University of California, Davis; Masha Y. Ivanova, Department of Psychiatry, University of Vermont; Katherine G. Jonas, Department of Psychiatry, Stony Brook University; Robert D. Latzman, Department of Psychology, Georgia State University; Kristian E. Markon, Department of Psychology, University of Iowa; Stephanie N. Mullins-Sweatt, Department of Psychology, Oklahoma State University; Aidan G. C. Wright, Department of Psychology, University of Pittsburgh; Roman Kotov, Department of Psychiatry, Stony Brook University.

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Correspondence concerning this article should be addressed to Monika A. Waszczuk, Department of Psychiatry, Stony Brook University, HSC, Level T-10, Room 060F, Stony Brook, NY 11794-8101. E-mail: monika.waszczuk@stonybrookmedicine.edu
future molecular genetic research, including quantitative and hierarchical assessment tools for future
data-collection and recommendations concerning phenotypic analyses.

General Scientific Summary
This study articulates a testable model that aligns the current understanding of genetic influences on
psychopathology with observed patterns of co-occurrence among mental disorders. Specifically, it
discusses the evidence that genetic influences do not fit traditional psychiatric diagnoses, and
demonstrates that alternative classification approaches, such as the HiTOP model, can maximize
precision and statistical power in the search for molecular genetic variants linked to mental illness.

Keywords: behavior genetics, comorbidity, general factor, molecular genetics, nosology

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Psychiatric genetics promises to revolutionize our understand-
ing of the neurobiology of mental illness and to inform drug
development and personalized medicine approaches (Gandal,
Leppa, Won, Parikhshak, & Geschwind, 2016; Geschwind & Flint,
2015; Lester & Eley, 2013; Nelson et al., 2016). However, despite
immense progress (Sullivan et al., 2018), genetic discovery in
psychiatry remains hindered by shortcomings of phenotypic defi-
nitions, including diagnostic unreliability, comorbidity among dis-
orders, and heterogeneity within them (Helzer et al., 2009; Kotov
et al., 2017). The Hierarchical Taxonomy of Psychopathology
(HiTOP) consortium proposed a data-driven classification system for a wide range of psychiatric disorders—based on a comprehen-
sive review of existing nosologic and psychometric research—that
addresses many of the shortcomings of traditional categorical
diagnostic systems (C. Conway et al., 2019; Kotov, Krueger, &
Watson, 2018; Kotov et al., 2017; Krueger et al., 2018). The
HiTOP system promises to be a useful framework for psychiatric
geneticists, who require valid and reliable phenotypes to maximize
precision and statistical power in the search for genetic vulnera-
tibilities to mental illness. Conversely, genetic findings are a crucial
external validator of psychiatric nosology. The current article
discusses both issues: how the HiTOP model can inform future
psychiatric genetic research by providing quantitative, hierarchi-
cally organized, and easily implementable phenotypes, and how the
HiTOP model dovetails with our existing understanding of the
genetic architecture of psychopathology.

The HiTOP Phenotypes: Hierarchical and Dimensional
Psychopathology

The HiTOP model organizes psychopathology into a hierarchy, in which each level captures a different degree of specificity with
which mental illness is described (Figure 1). At the lowest level,
the classification consists of individual maladaptive behaviors,
symptoms, and signs of illness. These can be aggregated into traits,
such as compulsive checking or distractibility. At the next level,
symptom components form dimensional syndromes, many of
which are similar to existing diagnostic categories, such as
obsessive–compulsive syndrome. The dimensional syndromes, in
turn, form seven lower order subfactors: distress, fear, sexual
problems, eating pathology, mania, substance abuse, and antisocial
behavior. The subfactors, in turn, are organized into six higher
order spectra: internalizing, thought disorder, disinhibited exter-
nalizing, antagonistic externalizing, detachment, and somatoform.
Finally, at the top of the hierarchy, there is a super spectrum, akin
to a general factor of psychopathology (“p factor”; Caspi et al.,
2014; Lahey et al., 2012; Lahey, Krueger, Rathouz, Waldman, &
Zald, 2017b). The proposed system is dynamic and flexible, as it
accommodates updates as more structural data become available.

As detailed elsewhere (Kotov et al., 2017), HiTOP addresses
two cardinal limitations of traditional classification systems, such as
the fifth edition of the Diagnostic and Statistical Manual of
Mental Disorders (DSM–5; American Psychiatric Association,
2013). First, the hierarchical approach provides a way to flexibly
accommodate heterogeneity by grouping related symptoms to-
gether and assigning unrelated symptoms to different components,
while also making comorbidity an explicit and predictable feature
of the model by classifying related components together. For
example, the model posits that a syndrome (e.g., obsessive–
compulsive disorder [OCD]) will consist of homogenous compo-
nents (e.g., checking, cleaning, and ritual compulsions), that it will
be most closely associated with other syndromes from the subfac-
tor to which it is assigned (i.e., fear subfactor), be less closely
associated with syndromes from other subfactors that belong to the
same spectrum (e.g., distress, eating pathology, and sexual prob-
lems subfactors of the internalizing spectrum), and be even less
closely associated with psychopathology from other spectra (e.g.,
substance abuse and antisocial behavior subfactors of the exter-
nalizing spectrum). The model also predicts that the proximity of
these associations will be reflected in common risk factors, path-
ological processes, treatment responses, and illness course (C.
Conway et al., 2019).

Second, the dimensional approach embodied in HiTOP frames
mental health problems as continua, addressing many limitations of
categorical classification, which include arbitrary boundaries
between psychopathology and normality, diagnostic instability,
and inability to account for subthreshold cases. For example, the
DSM–5 field trials have reported that 40% of diagnoses have not
met even a relaxed cutoff for acceptable interrater reliability
(Regier et al., 2013), although the same disorders often have
evidenced excellent reliability when conceptualized dimensionally
(Markon, Chmielewski, & Miller, 2011; Shea et al., 2002). Emp-
pirically derived thresholds can be applied to dimensions in the
HiTOP model to tailor them to specific clinical needs, such as
screening or treatment decisions.
Hierarchical and Quantitative Genetic Architecture: Evidence From Family and Twin Studies

There is ample empirical phenotypic evidence that psychopathology reflects the severe end of continuously distributed phenotypes (Krueger et al., 2018; Markon et al., 2011), indicating that quantitative phenotypes can be used to characterize clinical disorders in psychiatric genetic studies. First, a comprehensive review of taxometric research concluded that there is little support for discrete groups within the continuously distributed internalizing and externalizing spectra, as well as normal and maladaptive personality, although the evidence was less conclusive for schizotypy and substance use (Haslam, Holland, & Kuppens, 2012). Second, studies using latent variable modeling approaches also generally find that dimensional models fit data better than categorical models, in particular for disorders from the internalizing and externalizing spectra (Aslinger, Manuck, Pilkonis, Simms, & Wright, 2018; C. Conway, Hammen, & Brennan, 2012; Eaton et al., 2013; Krueger, Markon, Patrick, & Iacono, 2005; Luo, Donnellan, Burt, & Klump, 2016; Wright et al., 2013), although there is also some evidence of discontinuity (Forbes, Baillie, & Schneier, 2016; Forbush & Wildes, 2017; Klein & Kotov, 2016). Individual symptoms have typically also been found to be continuous rather than binary (Flett, vredenburg, & Krames, 1997; van Os, Linscott, Myin-Germeys, Delespaul, & Krabbendam, 2009).

In line with the phenotypic literature, biometrical studies have long demonstrated that genetic influences on psychiatric conditions operate in a dimensional fashion, reinforcing the conclusion that mental illness is better conceptualized in quantitative rather than categorical terms (Martin, Taylor, & Lichtenstein, 2018; Plomin, Haworth, & Davis, 2009). For example, Zavos et al. (2014) found that the same genetic factors influence severe and mild psychotic experiences in adolescents, indicating that quantitative genetic liability underpins a wide spectrum of psychotic symptoms. However, due to their traditional reliance on community samples, family and twin studies rarely encompass a sufficient number of participants with ascertained clinical diagnoses to directly test the genetic overlap between diagnoses and corresponding severity scores on a trait. Furthermore, some symptoms and diagnoses are too rare to study in typically powered community samples. One important exception is a recent study that demonstrated a common, highly heritable broad depression factor underpinning major depressive disorder (MDD) diagnosis, depression symptoms, and neuroticism trait, although MDD and neuroticism also showed substantial unique genetic effects (Kendler et al., 2018). Additional evidence of the dimensional nature of psychopathology comes from molecular genetic studies, as described in more detail in the next section.
Moreover, biometric models have provided compelling evidence of genetic overlap across traditional psychiatric phenotypes, informing psychiatric taxonomy (Lahey, Krueger, Rathouz, Waldman, & Zald, 2017a; Lahey, Van Hulle, Singh, Waldman, & Rathouz, 2011; Martin et al., 2018; Rhee, Lahey, & Waldman, 2015; Smoller et al., 2019; South & DeYoung, 2013; Waldman & Slutske, 2000). The current article builds on this body of evidence by explicitly relating it to a multitiered hierarchical organization that emerged from research on phenotypic structure. Specifically, in HiTOP, the super spectrum is hypothesized to capture genetic influences common across most psychiatric disorders (i.e., pleiotropy). At the next highest level, the genetic variance can either be retained or divided among different levels of specificity, depending on the analytic framework taken (Markon, 2019). If the genetic variance is retained across the levels of the hierarchy, the six spectra reflect both common genetic influences, and influences specific to that spectrum. Additional genetic contributors emerge as one progresses down the hierarchy toward narrower dimensions, including specific traits and symptom clusters. If total genetic variance is divided into transdiagnostic and symptom-specific influences, for example, using a bifactor approach, the genetic architecture of psychopathology is represented by independent sets of genes operating at different levels of specificity. Based on biometric modeling, the current review operationalizes etiological influences in a bifactor manner, unless stated otherwise. Accordingly, Figure 2 summarizes the level of empirical evidence for shared genetic influences at each level of the HiTOP hierarchy, herein referred to as genetic coherence. The key findings are described below, and a literature review is presented in Supplement 1 in the online supplemental materials.

Several twin and family studies provide evidence relevant to the super spectrum. Collective results from studies investigating shared genetic influences on symptoms from three or more higher order spectra jointly indicate that a single common genetic factor plausibly contributes to all six spectra (Kendler, Aggen, et al., 2011; Pettersson, Larsson, & Lichtenstein, 2016). For example, a Swedish national study of >1.5 million siblings identified a general genetic factor influencing diagnoses from the thought disorder, externalizing, and internalizing spectra, accounting for between 10% (for attention-deficit/hyperactivity disorder [ADHD]) to 36% (for drug abuse) of the total observed phenotypic variance in these diagnoses (Pettersson et al., 2016). Findings also included two sets of genetic influences independent of the general factor, one specific to thought disorder (accounting for 31% of the phenotypic variance in schizophrenia, 45% in schizoaffective disorder, and 16% in bipolar disorders), the other to the internalizing and externalizing spectra (ranging from 6% of the phenotypic variance explained for MDD to 42% for drug abuse), suggesting that the latter two dimensions were genetically more similar to each other than to the thought disorder spectrum. A second study of 2,111 Norwegian twins identified moderately correlated (r = .16–.49) internalizing, disinhibited externalizing, antagonistic externalizing, and detachment genetic dimensions (Kendler, Aggen, et al., 2011). Although an overall higher order general factor was not explicitly examined, the pattern of genetic correlations among the extracted spectra suggests that a degree of common genetic vulnerability to all disorders is likely.

When several disorders or symptoms belonging to a particular HiTOP spectrum (e.g., internalizing, disinhibited externalizing) are modeled jointly, a common genetic factor underpinning all of them typically emerges. For example, one study assessed antisocial behavior, conduct disorder, and drug, nicotine, and alcohol dependence in a sample of 1,999 biological and adoptive families, and identified a highly heritable disinhibited externalizing spectrum that captured a high proportion of the genetic influences on the five disorders (i.e., 61% of phenotypic variance in the latent externalizing factor was due to genetic influences), indicating genetic coherence of this spectrum (Hicks, Foster, Iacono, & McGue, 2013). The spectra are also genetically distinct, in that conditions belonging to the same spectrum share more genetic variance than conditions from different spectra. Nonetheless, spectra that are most frequently studied together, internalizing and externalizing, show a substantial genetic overlap (Cosgrove et al., 2011; Hink et al., 2013; Kendler & Myers, 2014; Kendler, Prescott, Myers, & Neale, 2003; Wolf et al., 2010), and developmental studies have found evidence for a single genetic factor influencing both internalizing and externalizing disorders (Ehringer, Rhee, Young, Corley, & Hewitt, 2006; Spatola et al., 2007). For example, Spatola et al. (2007) found that a common genetic factor accounted for 36–45% of total phenotypic variance of individual Child Behavior Checklist scales measuring internalizing and externalizing symptoms. Moreover, bifactor analyses that modeled an overarching general factor alongside specific internalizing and disinhibited externalized dimensions have found that although the general factor explains most of the heritability of internalizing and externalizing problems, a significant proportion of genetic variance remained that was specific to the internalizing and externalizing spectra (Lahey et al., 2011; Mikolajewski, Allan, Hart, Lonigan, & Taylor, 2013; Tackett et al., 2013; Waldman, Poore, van Hulle, Rathouz, & Lahey, 2016).

At the level of subfactors, studies support independent genetic influences on fear, distress, and substance abuse subfactors that emerge alongside higher order factors (Hettema, Prescott, Myers, Neale, & Kendler, 2005; Kendler et al., 1995, 2003; Waszczuk, Zavos, Gregory, & Eley, 2014). For example, in a sample of 1,549 young adult twins, a genetic fear subfactor influencing panic disorder, separation anxiety and social phobia symptoms was derived, accounting for 6–15% of total heritability of these symptoms dimensions, and was independent of overarching internalizing.

1 There are two broad, complimentary classes of approaches to modeling the structure of psychopathology: higher order and hierarchical/bifactor models (Markon, 2019). In higher order models, general factors explain specific factors, with the latter nested in the former, and general variance is retained as one considers lower levels. The phenotypic HiTOP model is conceptualized as such higher order model. In hierarchical/bifactor models, general and specific factors are orthogonal and uncorrelated with one another, explaining distinct nommed components of shared variance among indicators. Higher order structural biometric models usually consist of hierarchical approach. Thus, when discussing etiological influences, the total genetic variance in psychopathology is often divided into different levels of specificity. Consequently, an intermediate dimension such as the fear subfactor, when conceptualized in the higher order framework, is influenced by genes operating at different levels of specificity, including general psychopathology genes, genes specific to the internalizing spectrum, and genes specific to the fear subfactor itself. In the hierarchical framework, if the unique phenotypic variance of the fear subfactor is extracted, for example, using bifactor modeling (Reise, 2012), it is only influenced by the genes specific to the fear subfactor. The current review operationalizes etiological influences in the hierarchical framework due to this approach being used in biometric studies.
ing genetic influences shared with depression and generalized anxiety symptoms, that accounted for over half (63–85%) of the total heritability of each symptom dimension (Waszczuk et al., 2014). Finally, both eating pathology and antisocial behavior subfactors demonstrate subfactor-specific genetic influences (Bor­novalova, Hicks, Iacono, & McGue, 2010; Bulik et al., 2010; Cosgrove et al., 2011; Hink et al., 2013; Lahey et al., 2011; Mikolajewski et al., 2013; O’Connor et al., 2017; Tuvblad, Zheng, Raine, & Baker, 2009), but it remains unclear whether genetic factors underpinning these subfactors are independent of influences on higher order spectra because in many of these studies higher levels of the hierarchy have not been modeled.

Twin studies have begun to investigate genetic influences on individual syndromes, symptom components, and maladaptive traits. Previous studies investigated genetic influences underpinning narrow symptom components of depression (Kendler, Aggen, & Neale, 2013), OCD (Iervolino, Rijsdijk, Cherkas, Fullana, & Mataix-Cols, 2011), ADHD (McLoughlin, Ronald, Kuntsi, Ash­erson, & Plomin, 2007; Nikolás & Burt, 2010), aggression (Coc­caro, Bergeman, Kavoussi, & Serocszynski, 1997; Vernon, McCarthy, Johnson, Jang, & Harris, 1999), and antisocial personality (Kendler, Aggen, & Patrick, 2012; Rosenström et al., 2017), finding that some genetic influences operate at the level of the overarching syndrome, whereas other genetic influences are specific to individual symptom components. For example, while there was a common genetic factor influencing five symptom components of OCD—checking, hoarding, obsessing, ordering, and washing, accounting for 20–35% of total phenotypic variance—the first four OCD components also showed independent genetic influences that accounted for 11–23% of total phenotypic variance (Iervolino et al., 2011).

Finally, nonshared environmental influences (i.e., those uniquely experienced by only one cotwin or sibling) can be modeled using family and twin data. Nonshared environmental influences are typically disorder-specific and contribute to the distinction among psychiatric conditions, as described in more detail in Supplement 2 in the online supplemental materials. Nonetheless, some environmental influences contribute to the coherence of higher order HiTOP spectra, such as internalizing (Hette­ma, Neale, Myers, Prescott, & Kendler, 2006; Hette­ma et al., 2005; Mosing et al., 2009), externalizing (Bornovalova et al., 2010; Krueger et al., 2002; Tuvblad et al., 2009), thought disorder (Cardno et al., 2012) and somatoform (Kato, Sullivan, Evengård, & Pedersen, 2009) spectra, although they account for considerably less common variance in those phenotypes than higher order genetic factors. For example, in a study of >30,000 Swedish twins, nonshared environmental influences constituted about one third of influences on a general factor underpinning symptoms of depression, generalized anxiety, and four somatoform syndromes (chronic widespread pain, chronic fatigue, irritable bowel syndrome, and recurrent headache), and about one half of influences on an independent somatoform spectrum (Kato et al., 2009).

Hypotheses and Future Directions for Quantitative Genetics

Taken together, the existing behavior genetic literature indicates that the HiTOP model may be well aligned with the genetic architecture of psychopathology observed by family and twin studies. It suggests that key features of the HiTOP hierarchy, which were largely derived from psychometric modeling, could be genetically coherent (Figure 2). Nonetheless, the phenotypic structure hypothesized by the HiTOP model should be tested directly using confirmatory structural twin modeling and adjudicated where possible using fit statistics, to rigorously assess the degree of alignment between the phenotypic and genetic architecture of

![Figure 2. Genetic influences at different levels of the Hierarchical Taxonomy of Psychopathology (HiTOP) hierarchy. Genetic variants are expected to operate at different levels of the HiTOP hierarchy, with some highly pleiotropic genes influencing higher order psychopathology, and others conferring risk for specific spectra, subfactors, or symptom components. For the full literature review supporting genetic coherence at different levels of the HiTOP hierarchy, see Supplement 1 in the online supplemental materials.](image-url)
psychopathology. In particular, genetically informed structural analyses are needed to confirm the hypothesized genetic structure of several understudied aspects of psychopathology, such as the detachment spectrum and sexual problems subfactor. Furthermore, using identified or hypothesized phenotypic structures as a starting point for comparing different models of higher order genetic influences in a confirmatory manner might bias phenotypic and genetic literatures toward convergence. Therefore, hypothesis-free, exploratory analyses of genetic correlations should also be conducted, akin to the approach taken by Kendler, Aggen, et al. (2011). In case genetic models derived from HiTOP organization are not confirmed, alternative structural models derived directly from genetic data would be able to inform future genetic research, as well as influencing future revision of phenotypic models. Indeed, such findings could challenge relevant aspects of the HiTOP model and accordingly lead to revisions of the model.

From a study design perspective, achieving these goals would be facilitated by the inclusion of more comprehensive, transdiagnostic assessments across the full spectrum of severity, ranging from relevant personality traits to severe clinical problems. From an analytic perspective, it would be useful to focus on measuring and modeling the genetic structure of the lower order dimensions (symptom components and maladaptive traits) to build a truly bottom-up model. Ultimately, a twin study of the full HiTOP model is needed to test the genetic architecture of psychopathology comprehensively, ideally at multiple levels of the hierarchy simultaneously. Finally, the nature of the phenotypic general factor remains debated, with some suggestions that it reflects traits that nonspecifically increase the general risk for psychopathology and others raising the possibility that it captures distress or impairment common to all psychiatric illness (Caspi & Moffitt, 2018; Oltmanns, Smith, Oltmanns, & Widiger, 2018; Waldman et al., 2016). Etiologic hypotheses regarding the general factor can be evaluated empirically, for example by testing whether there are significant genetic and environmental correlations between the general factor and measured negative affect, functional impairment, and other psychosocial and environmental variables (Tackett et al., 2013; Waldman et al., 2016).

**Molecular Genetic Studies: Evidence for Transdiagnostic Genetic Pleiotropy**

The field of molecular psychiatric genetics has made enormous advances in the last decade. For example, case-control genome-wide association study (GWAS) meta-analyses conducted by the Psychiatric Genomics Consortium (PGC) led to identification of >150 independent genetic associations reaching genome-wide significance for schizophrenia, 30 for bipolar disorder and 12 for ADHD (Stahl et al., 2019; Sullivan et al., 2018), with findings even higher when combined with other data sets, for example, a total of 102 significant hits for depression (Howard et al., 2019). These studies have also demonstrated a quantitatively distributed polygenic liability to mental illness, on a continuum from trait variation in the general population to corresponding clinical diagnoses (Martin et al., 2018; Sullivan et al., 2018). Beyond notable disorder-specific findings, molecular genetic studies have also informed the transdiagnostic genetic architecture of psychopathology (Gizer, 2016; Santoro et al., 2016; Smoller, 2013; Smoller et al., 2019; Wray et al., 2014). The overarching pattern of findings from GWAS indicates widespread pleiotropy, with many genes and individual variants influencing more than one disorder (Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013b; Serretti & Fabbri, 2013; Sivakumaran et al., 2011).

Use of polygenic risk score (PRS) methodology allows for an examination of the prediction of phenotypes using an aggregate of genome-wide common variants, and also shared common variant genetic covariance across disorders and traits. Several reports on PRSs have informed etiology in the last decade. For example, a PRS for smoking has been observed to be associated with alcohol and cannabis use ($R^2 = 0.4–1.5\%$; Vink et al., 2014), in line with common genetic influences on various forms of substance abuse. Other work has found a PRS for ADHD to be significantly associated with comorbid conduct disorder symptoms, with $R^2 = 1.1\%$ higher in comorbid than pure ADHD cases (Hamshere et al., 2013), consistent with a broader externalizing spectrum. In the internalizing domain, a PRS for depression was also associated with anxiety, explaining about 21% of variance in anxiety (Demirkan et al., 2011). Finally, a PRS for schizophrenia was associated with nicotine use, depression and anxiety symptoms, and family history of depression, anxiety, alcohol use disorder and drug use (Docherty et al., 2018), which is in line with broad genetic risk for psychopathology. Consistent with broad influences of PRS, a recent study found that PRSs for schizophrenia and neuroticism were associated with a general factor of psychopathology in adolescence ($\beta = .06$ and .07, respectively), with little evidence for disorder-specific associations (Jones et al., 2018). In sum, PRSs appear to capture largely transdiagnostic genetic influences on phenotypic presentation, with uncertain specificity of the polygenic signal when applied to categorical phenotypes in isolation.

Studies investigating common variant single-nucleotide polymorphism (SNP)-based bivariate genetic correlations have also revealed patterns of genetic overlap that inform our understanding of the genetic architecture of psychopathology and are consistent with the HiTOP organization. For example, one PGC study found that bipolar disorder showed the highest SNP-based genetic correlation with schizophrenia ($r_g = .68$), and a considerable correlation with depression ($r_g = .47$; Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013a), and another study reported a genetic correlation between bipolar disorder and anorexia nervosa ($r_g = .16$; Lo et al., 2017), which accords with bipolar disorder’s position within both the thought disorder and internalizing spectra in HiTOP (Figure 1). This pattern of results has been reported in data from non-PGC sources (Wang, Gaitsch, Poon, Cox, & Rzhetsky, 2017), as well as in a recent gene expression investigation (Gandal et al., 2018). The latter study found the highest transcriptome correlation between bipolar disorder and schizophrenia ($r_t = .70$), with both disorders also showing significant, albeit smaller, transcriptome overlap with depression ($r_t = .25$ and .30, respectively). Recent work has also identified very high SNP-based genetic overlap between depression, mood, and anxiety disorders (Wang et al., 2017), supporting genetic coherence of the internalizing spectrum. Another study created a SNP-based genetic correlation matrix comprising a number of phenotypes related to substance use and found a pattern of correlations indicating the presence of substance specific genetic effects, as well as high genetic overlap between use of different types of substances (e.g., $r_g = .83$ between cannabis initiation and smoking initiation, $r_g = .44$ between nicotine and alcohol consumption; Nivard et al., 2016), which supports genetic coherence of the substance abuse subfactor. Finally, several studies have revealed genetic correlations between disorders
from different higher order spectra, which is in line with the over-arching genetic pleiotropy that may indicate a general factor of psychopathology (Anttila et al., 2018; Bulik-Sullivan et al., 2015; Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013a; Lo et al., 2017; Selzam, Coleman, Caspi, Moffitt, & Plomin, 2018; Wang et al., 2017). Nonetheless, it is also important to note that not all phenotypes showed significant PRS or SNP-based genetic correlations, and it is unclear whether these results point to low genetic overlap, or are a result of low discovery GWAS sample sizes for some conditions (i.e., PTSD).

How HiTOP Phenotypes Can Facilitate Genetic Discovery

Despite enormous progress, molecular genetic discovery in psychiatry has been dependent on categorical, case-versus-control analyses embedded within traditional diagnostic classification systems. The HiTOP model endeavors to refine this phenotypic framework and accelerate genetic discovery in two major ways. First, the hierarchical approach provides alternative, empirically validated phenotypic targets of genetic inquiry. Specifically, the model allows genetic studies to address problems associated with comorbidity by focusing on major dimensions underlying numerous psychiatric conditions, and to reduce issues associated with within-disorder heterogeneity, by focusing on well-characterized tight-knit lower order dimensions (Hodgson, Mcguffin, & Lewis, 2017; Mullins & Lewis, 2017; van der Sluis, Verhage, Posthuma, & Dolan, 2010). Currently, when a new genetic variant is significantly associated with (or PRS is created for) a particular disorder (e.g., MDD), it is uncertain whether it indicates risk for a particular symptom within this condition (e.g., anhedonia), or for a higher order spectrum to which that condition belongs more broadly (e.g., the internalizing spectrum; Gatt, Burton, Williams, & Schofield, 2015; Hettema, Chen, Sun, & Brown, 2015; Serretti & Fabbri, 2013). Furthermore, extensive heterogeneity within traditional diagnoses likely obscures links with symptom-specific genetic variants and therefore large samples are needed to find relations between these variants and diagnoses (Manchia et al., 2013; Wray & Maier, 2014). Using HiTOP phenotypes with a known placement within the hierarchy can resolve these concerns, and enable genetic studies to choose phenotypic targets of the specific breadth that the study aims to investigate, for example, an internalizing spectrum, distress subfactor, or anhedonia component. Indeed, differential discovery expected to emerge at each level of the phenotypic structure, and the HiTOP model provides tools for explicated this architecture systematically. As such, the HiTOP model can increase the statistical power and precision of genetic research by providing internally consistent phenotypic targets at every level of the hierarchy. Specifically, power analyses demonstrate that optimized phenotypic modeling will appreciably increase power to detect genetic effects over the use of total scores (van der Sluis et al., 2010).

Second, the quantitative approach supported by the HiTOP model may increase statistical power for genetic discovery. As discussed earlier, quantitative phenotypes better capture illness severity and characterize subthreshold cases than categorical diagnoses (Markon et al., 2011; Shea et al., 2002). The loss of this information when using categorical diagnoses can weaken the genetic signal, for example when subthreshold cases are included in the control group or when diagnosis changes over time (as misclassification between cases and noncases and between cases of different disorders is quite common even in well-designed studies; Bromet et al., 2011; Moffitt et al., 2010). The HiTOP model allows for thresholding when it is pragmatically useful, but does not require it. Furthermore, HiTOP avoids concerns regarding the selection of healthy controls. Contrasting healthy controls and cases with a clinical diagnosis has significant limitations (Preacher, Rucker, MacCallum, & Nicewander, 2005; Sher & Trull, 1996; Uher & Rutter, 2012). In particular, healthy controls and clinical cases typically differ on characteristics unrelated to the psychopathology of interest, such as intelligence, socioeconomic status, and co-occurring mental health symptoms, which can confound estimates of genetic influences and genetic associations among disorders. In addition, diagnostic misclassification inflates genetic correlations (Kendler, Chatzinakos, & Bacana, 2019; Wray, Lee, & Kendler, 2012). Instead, samples from a single population with a dimensional assessment of symptom severity (e.g., a representative community sample or unselected group of patients seeking mental health services) are generally easier to obtain and allow for more precise and clinically useful estimates of effect size.

Quantitative phenotypes enhance statistical power, with power analyses demonstrating that continuous phenotypes yield more power over categorical diagnoses in GWAS under many conditions (van der Sluis, Posthuma, Nivard, Verhage, & Dolan, 2013; van der Sluis et al., 2010; Yang, Wray, & Visscher, 2010). Specifically, case-control designs have advantageous power when as many cases as controls are recruited under the condition of low disease prevalence, but if the sample is representative of the population, quantitative phenotypes yield higher power. Notably, it is often difficult to recruit sufficiently large samples with “rare” disorders to meet power requirements of case-control design. Finally, quantitative approaches can identify nonlinearity in genetic influences, and thus can indicate whether certain aspects of psychopathology are indeed better represented as categories (rather than assuming that to be the case), and can inform optimal thresholds for such classifications (Plomin & Kovas, 2005). This has been empirically tested in twin studies, with overwhelming evidence for continuity of etiological influences across symptom severity (Martin et al., 2018; Plomin et al., 2009). Nonetheless, discontinuities in etiology have also been demonstrated, for example, one twin study found that the extreme low end of the ADHD spectrum has a different genetic etiology from higher levels of ADHD symptoms, suggesting that low ADHD might reflect different genetic influences (Greven et al., 2016).

Hypotheses and Novel Phenotypic Approaches in Molecular Genetic Studies

Hypotheses and Molecular Evidence Relating to the Hierarchical Structure

Although the existing literature suggests that it will be possible to identify genetic vulnerabilities associated with different levels of the HiTOP hierarchy, predictions of this model should be directly tested in molecular genetic studies. Specifically, the model posits a set of testable hypotheses that would organize and encourage the exploration of the interface between phenotypic and molecular genetic studies. First, different genetic findings are hypo-
esized to emerge at different levels of the HiTOP hierarchy. For example, if the phenotype was first partitioned using a bifactor model into variance specific to the general factor, spectrum, subfactor and so forth, and GWASs were conducted to identify genetic hits associated with each level, the model predicts (a) that genetic variants will be identified at each level and (b) that different, nonoverlapping genes will be identified at each level. The same two predictions hold for PRS built for these phenotypes, and for associated downstream biological pathways. As such, a higher order approach to phenotypes may increase the precision of genetic findings, differentiating between genetic liability for broad psychopathology and dimension-specific genetic risk factors. One implication of such genetic findings is that they might inform Mendelian randomization studies (P Ingault et al., 2018) by providing more precise and less pleiotropic instrumental variables (e.g., PRS scores) for psychiatric predictors.

Furthermore, the HiTOP model makes specific predictions about the pattern of genetic correlations. Specifically, the HiTOP model hypothesizes that dimensions within the same spectrum (or subfactor) will show stronger common variant SNP-based genetic correlations than dimensions assigned to different spectra or subfactors. For example, the HiTOP model predicts that worry may have higher genetic correlations with depression and traumatic reexperiencing than with interaction anxiety, which in turn will be larger than the genetic correlation of worry with callousness.

Predictions about general and specific genetic vulnerabilities have been supported by molecular genetic evidence. A recent study found that a genetic general factor of psychopathology, derived using principal component analysis of genetic correlation matrices from three different molecular methods (genome-wide complex trait analysis, linkage-disequilibrium score regression, and PRS correlations), accounted for 19–57% of all genetic variance for a range of psychiatric traits (Selzam et al., 2018). While this finding demonstrates that a significant proportion of genetic variance is captured by the general factor, the remaining, in some cases, even larger proportion of genetic variance is captured at lower levels of the hierarchy. This has been tested more formally by applications of genomic structural equation modeling (SEM), which extracts common genetic dimensions from bivariate genetic associations (Grotzinger et al., 2019). The first study to use this approach suggested that while many genes broadly influence liability to numerous psychiatric disorders, other genetic factors remain disorder-specific, mirroring the hierarchical structure from phenotypic and twin modeling literatures (Grotzinger et al., 2019). Another study using the genomic SEM method has modeled the intermediate level of the hierarchy and found support for correlated internalizing, externalizing, and thought disorder spectra (Luningham, Poore, Yang, & Waldman, 2018). As the matrix of genetic correlations expands, genomic SEM will allow further evaluation of the alignment between genetic architecture and the HiTOP model.

Hypotheses and Molecular Evidence Relating to Redefined Phenotypes

Direct comparison of the number of SNPs identified using dimensional and hierarchical phenotypes versus DSM-based disorders serves as a more direct test of the hypothesis that the model has incremental utility for genetic studies. We hypothesize that HiTOP phenotypes will help to identify a higher number of genetic variant effects. Already, some molecular genetic studies have begun using the approach of combining individual phenotypes to form higher order spectra (Lee et al., 2016; McGue et al., 2013; Neumann et al., 2016; Otowa et al., 2016; Xu et al., 2015). For example, a meta-analysis of GWAS of generalized anxiety disorder, panic, agoraphobia, social and specific phobias identified common variants associated with an overarching factor (i.e., the Internalizing spectrum), which revealed novel genes (Otowa et al., 2016).

Moreover, the HiTOP model predicts that specific symptom dimensions will have a degree of unique genetic influences, but this assumption remains to be tested. It is plausible that at the lowest level of the hierarchy, unique influences might be largely environmental, in line with the generalist genes hypothesis (Eley, 1997; Plomin & Kovas, 2005). Recent molecular genetic studies tested this by examining narrow components of disorders (Hodgeson et al., 2017). For example, depression subtypes were found to be characterized by partially distinct polygenic liabilities (Milaneschi et al., 2016) and different genetic influences were found for different depression symptoms (Nagel et al., 2018; Thorp et al., 2019). Similarly, a recent GWAS focused on anhedonia among patients with MDD identified 18 variants specific to this symptom dimension, with anhedonia-specific PRS predicting antidepressant treatment efficacy (Ren et al., 2018). Furthermore, when GWAS targeted another very narrow phenotype, mood instability, four new genetic variants were discovered (Ward et al., 2017). Overall, this provides initial support for the hypothesis of unique genetic effects on lower order dimensions.

How to HiTOP: A Practical Guide for Genetic Studies

There are several practical ways in which psychiatric geneticists can incorporate the HiTOP phenotypic definitions into their research and test HiTOP hypotheses further, as summarized in the Figure 3. Studies in planning stages could consider including measures of dimensional phenotypes that capture transdiagnostic phenotypes, as well as the full dimension of liability to and severity of psychopathology from low to moderate to high, and not just its maladaptative ends captured by case versus control status (K. Conway et al., 2010; Greven, Buitelaar, & Salum, 2018; van der Sluis et al., 2010). Some of the HiTOP-compatible scales (view as well as self-report) that allow for higher order and bifactor modeling are listed in Kotov et al. (2017). Many of these assessments can be administered remotely (e.g., via online surveys accessible on mobile devices) and have been validated in short versions. For example, the Patient Health Questionnaire assesses depression symptoms using nine items (Kroenke, Spitzer, & Williams, 2001). Moreover, abbreviated measures can still capture symptom dimensions, for example the Personality Inventory for DSM–5 consists of 25 items that cover five domains of personality psychopathology (Krueger, Derringer, Markon, Watson, & Skodol, 2013). These measures are suitable for large data collection efforts often required in genetic studies. Furthermore, if diagnostic interviews are used, the studies could aim to assess all symptoms without applying hierarchical exclusion (“skip-out”) rules. This may require substantial modifications to interview measures and longer interviewing times, but will allow researchers to quantify dimensions and assess their hierarchical organization,
neither of which is advisable for variables affected by exclusion rules, as these rules usually introduce serious distortions in the data (Kotov, Ruggiero, Krueger, Watson, & Zimmerman, 2018). Moreover, skip-out free psychopathology severity dimensions have shown superior validity and reliability over categorical diagnoses derived from the same interview (Shankman et al., 2018).

Another way to capture numerous different traits and the full spectrum of severity is to include measures of personality alongside measures of psychopathology (Krueger et al., 2002; Widiger et al., 2019). Genetic studies of clinical disorders (e.g., alcohol abuse) could assess related traits such as personality pathology (e.g., disinhibition), which are known to precede and contribute to the development of different forms of psychopathology (Hurt, Stockbridge, Fox, & Shackman, 2019; Krueger & Tackett, 2006; Shackman et al., 2016; Widiger, 2011) and have been mapped onto the HiTOP model (Widiger et al., 2019). Personality traits may constitute a more stable and thus reliable target for genetic studies than diagnoses, as those are often quite unstable over time (Chmielewski, Clark, Bagby, & Watson, 2015; Markon et al., 2011; Shea et al., 2002), primarily due to unreliability of diagnostic ratings (Regier et al., 2013). In contrast, meta-analyses report that rank-order stability of personality traits reaches .70 in mid- to late adulthood (Casp, Roberts, & Shiner, 2005; Roberts & DelVecchio, 2000). Rank-order stability of normal personality traits is also higher than that reported for psychopathology symptoms (Ormel et al., 2013; Prenoveau et al., 2011).

It is also possible to apply the HiTOP approach when analyzing existing genomic data sets. Many such data sets, for example those collected by the PGC or U.K. Biobank, are open source or available for secondary analyses upon request, with detailed instructions on how to access these data posted on Consortia websites. However, such data often contain minimal or categorical phenotyping, with different measures used across studies. We therefore propose a range of approaches for pooling and analyzing these data.

First, pooling of items can be done across different measures and data sets to create higher order spectra, as long as they are standardized with respect to published population norms, and factor scores can be harmonized by anchoring them to a marker common between data sets. When pooling data, it is recommended to test measurement invariance to ensure that the same construct is captured by different measures or in populations studied across data sets (Vandenberg & Lance, 2000). If invariance is not evident, the data should not be pooled. Second, phenotypes at the desired level of generality within the hierarchy can be derived from both continuous and binary/ordinal variables by employing statistical techniques such as structural equation modeling to derive latent variables, and “bass-awards” modeling (Goldberg, 2006).

Third, traditional diagnoses can be scored into dimensional variables at different levels of the hierarchy (e.g., depression severity, internalizing spectrum, general factor) using structural equation modeling, factor analysis, or simple counts of diagnoses (Forbes, Tackett, Markon, & Krueger, 2016). If symptom-level data are available, for example because no skip-out rules were applied in diagnostic interviews or from self-report questionnaires, lower order quantitative variables can be created, such as using symptom counts or factor analyses to derive empirically coherent syndromes. Often such validated, lower order components and syndromes can simply be computed by scoring previously derived subscales within measures. Notably, these techniques flexibly allow for the phenotypes to be derived either within higher order (variance retained across levels) or hierarchical (variance divided between levels) frameworks (see Footnote 1). Finally, this guidance extends beyond analyzing genotypic data sets, as the same principles can be applied to phenotypes included in downstream molecular studies (e.g., methylome and transcriptome data).

Future Challenges

A number of outstanding issues remain to be noted. First, shared methods variance could affect the phenotypic structure by inflating loadings on the higher order factors, or exaggerating associations between symptoms from the same scale. One implication of such potential bias for genetic studies is that GWAS conducted for higher order latent factors might capture genetic signal that reflects shared methods variance (e.g., constructs related to the response style), alongside the psychopathology. However, bifactor decomposition removes both the variance due to higher order factors and due to common method biases, leaving specific factors free of both influences. In analyses where shared methods variance is a concern, statistical approaches to remedy measured and unmeasured sources of this confound can be applied to phenotypes prior to conducting genetic analyses (Podsakoff, MacKenzie, Lee, & Podsakoff, 2003). Furthermore, where feasible, future phenotypic and genetic studies could broaden the measurements to include different raters and instruments, an approach that has been successfully accomplished in very large-scale twin studies (Rimfeld et al., 2019). Notably, genetic structure obtained from twin studies is less affected by this limitation because error is captured by nonsourced environmental effects, and common rater effects are usually resolved by relying on correlations across twins’ independent reports.

Second, studies that derive structure by analysis of covariance among disorders can be affected by symptom overlap between diagnoses, which also can affect the genetic structure by inflating the genetic overlap between different scales. This issue can be addressed by analysis of symptom-level data. Third, there are alternative theoretical approaches to interpreting associations among symptoms of psychopathology, most notably network analysis, which posits that covariance of symptoms arise because some symptoms (or their functional consequences) exert causal influences on other symptoms (Borsboom & Cramer, 2013). Consequently, in the network modeling framework, genetic influences are thought to influence individual differences in the strength of the causal relations between the symptoms (i.e., the edges; Cramer, Kendler, & Borsboom, 2011). Emerging evidence demonstrates that genetic relatedness may indeed moderate associations between individual symptoms (Hasmi et al., 2017; Smeets, Lataster, Viechtbauer, & Delespaul, 2015). In the future, generalized network psychometrics that combines network and latent variable models might provide a novel, unified approach to investigating the genetic structure underpinning psychopathology (Epskamp, Rhemtulla, & Borsboom, 2017).

Fourth, although developmental twin and family studies provide support for the alignment of phenotypic and genetic structures within internalizing and disinhibited externalizing spectra, (e.g., Lahey et al., 2011; Mikolajewski et al., 2013), less is known about other spectra, and age differences and developmental trajectories of the higher order genetic structure have been explicitly modeled in only a few twin studies (Waszczuk, Waakaar, Eley, & Torgersen, 2019; Waszczuk et al., 2014; Waszczuk, Zavos, Gregory, &
Eley, 2016). A better understanding of genetic influences on psychopathology in young people would inform whether different phenotypic definitions are required when conducting molecular genetic research with developmental samples.

Fifth, while the internalizing, externalizing, and thought disorder spectra, as well as many dimensions within them, have shown cross-cultural generalizability (de Jonge et al., 2018; Ivanova et al., 2007, 2015; Krueger, Chentsova-Dutton, Markon, Goldberg, & Ormel, 2003), other parts of the HiTOP model need to be similarly studied. Importantly, structural family and twin studies in non-Western populations are too rare to draw conclusions about the cross-cultural generalizability of higher order genetic influences on psychopathology. Nonetheless, to date emerging evidence suggests that there may be cross-cultural generalizability (Ball et al., 2011), and genetic influences on normal personality have shown to be invariant across cultures (Yamagata et al., 2006). Likewise, molecular psychiatric genetic research is limited by its heavy focus on populations of European ancestry (Martin et al., 2019; Torkamani, Wineinger, & Topol, 2018). Until other ancestry-specific GWAS are conducted for a wide range of psychopathology, the cross-cultural generalizability of genetic contributions to mental health cannot be addressed (Docherty et al., 2018).

Sixth, other current limitations of the HiTOP model that may impact its ability to inform genetic research, include no consideration of features such as the age of onset or chronicity, and uncertain placement of developmental disorders, due to paucity of structural data available on this topic (Kotov et al., 2017), and lack of a current validated comprehensive measure of all aspects of the model. Finally, concerning feasibility, expanding phenotypic assessments may increase participant burden and resources needed to conduct the genetic studies, in particular when large sample sizes are required. However, in some cases, such studies may need to add on only a small number of psychometrically sound measures to supplement the existing data sets, and the burden can be reduced by remote data collection, abbreviated instruments, or integration of information from electronic health records and behavioral tracking via phones or wearable devices. Overall, the extra effort required to obtain comprehensive phenotyping is worthwhile investment to enable novel research in the field of psychiatric genetics, and a necessary translational step for connecting genotype data to the diverse clinical manifestation of mental illness.

Conclusions

Genetic discovery in psychiatry is hindered by suboptimal phenotypic definitions. We argue that the hierarchical, quantitative, and data-driven classification system proposed by the HiTOP consortium may provide a more effective approach to identifying

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<tr>
<th>For studies that are in planning stages:</th>
<th>When using existing datasets:</th>
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<tr>
<td>• Instead of relying exclusively on categorical phenotypes, studies could consider measuring empirically established spectra, and well-defined, validated dimensions within them.</td>
<td>• In instances where phenotypic information is available from diagnostic interviews, it is still often possible to create HiTOP-informed higher-order variables (e.g., internalizing score).</td>
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<td>• When diagnostic interviews are included as part of the assessment battery, consider eliminating “skip-out” rules in order to assess a wide range of symptoms even when the person cannot meet diagnostic criteria for given disorder.</td>
<td>• Likewise, if symptom-level data are available from interview or self-report measures, lower-order quantitative variables can be created.</td>
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<td>• Even studies that aim to focus on a particular disorder would benefit from collecting measures of related forms of psychopathology, including personality, to better capture stable traits underlying disorder risk.</td>
<td>• When working with both binary/ordinal and continuous data, researchers can employ statistical techniques (e.g. latent variable, “bass-ackwards” modeling (Goldberg, 2006)) to derive phenotypes at a desired level of generality within the hierarchy.</td>
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<tr>
<td>• Higher-order spectra can be created by pooling items across different measures.</td>
<td>• When harmonizing phenotypes from different datasets, quantitative measures can be pooled following standardization with respect to published population norms; factors scores can be harmonized by anchoring them to a marker common between datasets.</td>
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Figure 3. How to HiTOP: a practical guide for genetic studies.
genetic markers of mental illness than traditional diagnostic categories. The HiTOP approach promises to resolve problems of comorbidity, heterogeneity, and arbitrary diagnostic thresholds that impede progress in psychiatric genetics. In particular, genetic variants are expected to operate at different levels of the HiTOP hierarchy, with some highly pleiotropic genes influencing higher order psychopathology, and others conferring risk for specific spectra, subfactors, or symptom components. We also demonstrated that the HiTOP model aligns well with our current understanding of the higher order genetic structure of psychopathology, emerging from biometrical and molecular genetic findings of broad genetic pleiotropy.

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REDEFINING PSYCHIATRIC GENETICS PHENOTYPES


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REDEFINING PSYCHIATRIC GENETICS PHENOTYPES


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Supplement 1 – Literature review of the genetic coherence of higher-order spectra

Internalizing spectrum encompasses five subfactors: fear, distress, eating pathology, mania and sexual problems. The intergenerational transmission of anxiety and depressive disorders is largely non-specific (Kendler, Davis, & Kessler, 1997; Starr, Conway, Hammen, & Brennan, 2013), and twin studies support a common genetic factor underlying emotional disorders (Cosgrove et al., 2011; Hettema, Neale, Myers, Prescott, & Kendler, 2006; Kendler, Aggen, et al., 2011; Kendler & Myers, 2014; Lahey, Van Hulle, Singh, Waldman, & Rathouz, 2011; Mikolajewski, Allan, Hart, Lonigan, & Taylor, 2013; Mosing et al., 2009; Silberg, Rutter, & Eaves, 2001), although separate genetic influences on distress and fear subfactors have also been identified (Hettema, Prescott, Myers, Neale, & Kendler, 2005; Kendler, Prescott, Myers, & Neale, 2003; Kendler et al., 1995; Waszczuk, Zavos, Gregory, & Eley, 2014). Furthermore, multiple forms of eating pathology aggregate in families (Jacobi, Hayward, de Zwaan, Kraemer, & Agras, 2004; Strober, Freeman, Lampert, Diamond, & Kaye, 2000; Thornton, Mazzeo, & Bulik, 2010) and share genetic overlap (Bulik et al., 2010; O'Connor et al., 2016; Waszczuk, Waaktaar, Eley, & Torgersen, 2019), with a number of structural twin studies finding a common genetic factor influencing eating pathology and emotional disorders (Kendler, Aggen, et al., 2011; Kendler et al., 1995; Silberg & Bulik, 2005; Thornton, Welch, Munn-Chernoff, Lichtenstein, & Bulik, 2016; Wade, Fairweather-Schmidt, Zhu, & Martin, 2015). For example, Silberg and Bulik (2005) identified a single genetic factor influencing eating disorder, depression, overanxious and separation anxiety symptoms in children, and this genetic factor also contributed to the continuity of symptoms into adolescence. Finally, twin and family studies indicate a partial genetic overlap between mania and unipolar depression (McGuffin et al., 2003; Smoller & Finn, 2003; Song et al., 2015). Overall, although the genetic overlap between different disorders and subfactors within the internalizing spectrum is prominent, genetic evidence linking certain disorders,
such as mania and eating pathology, or sexual dysfunction and other subfactors (Forbes, Baillie, Eaton, & Krueger, 2017), is currently lacking.

**Externalizing spectra:** disinhibited and antagonistic, jointly harbor antisocial behavior subfactor, which contains syndromes such as conduct disorder (CD) and antisocial PD. Additionally, disinhibited externalizing spectrum consists of the substance abuse subfactor, and antagonistic externalizing harbors four PDs: narcissistic, histrionic, paranoid and borderline. Developmental studies focused on disorders within the antisocial behavior subfactor identified common genetic influences (Bornovalova, Hicks, Iacono, & McGue, 2010; Cosgrove et al., 2011; Hink et al., 2013; Lahey et al., 2011; Mikolajewski et al., 2013; Tuvblad, Zheng, Raine, & Baker, 2009), with parent-child resemblance accounted for by the transmission of general liability to these behaviors (Bornovalova et al., 2010). The substance abuse subfactor was also found to be characterized by a highly heritable single latent factor (Hicks, Schalet, Malone, Iacono, & McGue, 2011). Investigating disorders within antisocial behavior and substance abuse subfactors together, twin studies consistently identify a higher-order heritable disinhibited externalizing factor (Hicks, Foster, Iacono, & McGue, 2013; Hicks, Krueger, Iacono, McGue, & Patrick, 2004; Kendler, Aggen, et al., 2011; Kendler & Myers, 2014; Kendler et al., 2003; Krueger et al., 2002; Wolf et al., 2010; Young, Stallings, Corley, Krauter, & Hewitt, 2000), with non-specific intergenerational transmission of a wide range of disinhibited externalizing disorders. Notably, the substance use subfactor may have a significant proportion of unique genetic etiology, independent of the influences shared with the disinhibited externalizing spectrum (Kendler et al., 2003). Within the antagonistic externalizing spectrum, twin data generally support genetic commonality among PDs with antagonistic properties (Kendler et al., 2008; Livesley, Jang, & Vernon, 1998; Torgersen et al., 2008), and their genetic links to disorder within the antisocial behavior subfactor.
Overall, disinhibited and antagonistic externalizing spectra have shown genetic coherence.

**Thought disorder spectrum** encompasses schizophrenia spectrum disorders, mood disorders with psychosis, three PDs: schizotypal, schizoid and paranoid, and the mania subfactor. Family and twin studies have found that schizophrenia and the three PDs aggregate in families (Calkins, Curtis, Grove, & Iacono, 2004; Ettinger, Meyhöfer, Steffens, Wagner, & Koutsouleris, 2014; Kendler, Czajkowski, Tambs, Torgersen, Aggen, Neal, et al., 2006; Kendler & Gardner, 1997; Kendler et al., 1993; Tarbox & Pogue-Geile, 2011) and share genetic influences (Kläning et al., 2016). One twin study identified a single genetic factor that explained all variance in schizotypal PD and also contributed to paranoid PD and schizoid PD (Kendler, Czajkowski, Tambs, Torgersen, Aggen, Neale, et al., 2006). Another analysis of this cohort that included the full range of PDs also assigned avoidant PD and dependent PD to this factor (Kendler et al., 2008), a result confirmed in another analysis (Kendler, Aggen, et al., 2011), but these two studies did not include schizophrenia or bipolar disorders, which may explain why factor content broadened beyond thought disorders. Importantly, family and twin modelling results also indicate genetic overlap among psychotic and bipolar disorders (i.e., between the thought disorder spectrum and mania subfactor), providing support for the placement of the mania subfactor under the thought disorder spectrum (Bramon & Sham, 2001; Cardno, Rijsdijk, Sham, Murray, & McGuffin, 2002; Cardno et al., 2012; Lichtenstein et al., 2009; Pettersson, Larsson, & Lichtenstein, 2016; Song et al., 2015). Overall, existing genetic evidence supports the coherence of the thought disorder spectrum, however structural studies that examine full range of thought disorders in context of diverse psychopathology are needed.

**Detachment spectrum** contains four PDs: schizoid, avoidant, dependent and (negatively loading) histrionic. To date only three twin studies have addressed the issue of distinctiveness
of the detachment spectrum from the rest of psychopathology. First, a twin study encompassing a broad range of maladaptive personality traits found a genetic factor influencing intimacy problems and restricted expression traits, which are key features of detachment (Livesley et al., 1998). Second, analysis of all PDs found a unique genetic factor shared by schizoid and avoidant PDs, and with a smaller loading on dependent PD (Kendler et al., 2008), which was also confirm in a study looking across a broad range of PDs and clinical disorders (Kendler, Aggen, et al., 2011). Thus, twin studies to date suggest that the detachment spectrum shares a degree of common genetic etiology, but its distinctiveness from thought disorder is not certain.

**Somatoform spectrum** includes somatic symptom disorder and illness anxiety disorder. Relatively little is known about the genetic structure of this spectrum. One twin study to date suggested that a common genetic factor contributes to four somatic symptoms: recurrent headache, irritable bowel syndrome, chronic impairing fatigue, and chronic widespread pain (Kato, Sullivan, Evengård, & Pedersen, 2009), independent of genetic influences shared with major depression and generalized anxiety disorder. Other twin studies suggest that a significant proportion of genetic influences on somatoform spectrum symptoms are independent from internalizing problems (Gillespie, Zhu, Heath, Hickie, & Martin, 2000; Hansell et al., 2012), however somatoform and internalizing spectrum may share genetic underpinnings at a higher level of generality (Ask, Waaktaar, Seglem, & Torgersen, 2016; Ball et al., 2011; Gillespie et al., 2000; Hansell et al., 2012; Kato et al., 2009; Kendler, Aggen, et al., 2011). Structural studies often have poor somatic symptom coverage that prevents modelling the separate genetic factor and future work should consider a wider symptom coverage.
**Supplement 2 – Structure of environmental influences**

Although non-shared environment typically contributes to the distinction among psychiatric conditions, a proportion of environmental influences contributes to the coherence of HiTOP spectra, with higher-order *non-shared* environmental factors found for internalizing (Hettema et al., 2006; Hettema et al., 2005; Mosing et al., 2009; Thornton et al., 2016), externalizing (Bornovalova et al., 2010; Krueger et al., 2002; Seglem, Torgersen, Ask, & Waaktaar, 2015; Tuvblad et al., 2009; Young et al., 2000), thought disorder (Cardno et al., 2012) and somatoform (Kato et al., 2009) spectra, although they accounted for considerably less variance in the phenotypes than higher-order genetic factors. Nonetheless, some studies found non-shared environmental factors that do not align with the HiTOP (Ørstavik et al., 2012; Torgersen et al., 2008). For example, in addition to a common set of non-shared environmental influences on four externalizing PDs: antisocial, borderline, narcissistic and histrionic, the first two PDs shared an additional set of higher-order environmental influences, indicating closer etiology that is not in line with the current HiTOP classification (Torgersen et al., 2008). Furthermore, some studies in youth reported that *shared* environmental influences parallel the externalizing (Bornovalova et al., 2010; Burt, Krueger, McGue, & Iacono, 2001; Hicks et al., 2013; Hicks et al., 2011; Seglem et al., 2015; Tuvblad et al., 2009) and internalizing (Eley et al., 2003; Silberg & Bulik, 2005; Silberg et al., 2001) spectra. Future research should identify specific environmental influences that contribute to the coherence of and distinctions between major psychopathologic dimensions. Studies that have started identifying transdiagnostic environmental risk factors found that child maltreatment and discrimination may operate at the level of higher-order spectra (Anda et al., 2006; Eaton, 2014; Kendler, Eaves, et al., 2011; Keyes et al., 2012; Lahey et al., 2012; Vachon, Krueger, Rogosch, & Cicchetti, 2015). Finally, HiTOP spectra are sensitive to the interplay between genetic and environmental vulnerabilities. For instance, heritability
estimates for the internalizing spectrum vary across environmental circumstances (e.g. socio-economic status, school environment) (Lamb, Middeldorp, Van Beijsterveldt, & Boomsma, 2012; South & Krueger, 2011).
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